

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/990,763	11/09/2001	Stefan Schmitt	VO-553

CONFIRMATION NO. 1855

## FORMALITIES LETTER



\*OC000000007163174\*

Pauley Petersen Kinne & Erickson  
Suite 365  
2800 W. Higgins Road  
Hoffman Estates, IL 60195

Date Mailed: 12/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/11/2002 BNGUYEN1 00000046 09990763

01 FC:101 740.00 OP  
02 FC:105 130.00 OP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Stefan SCHMITT  
Frank KARETTA  
Rainer EICHHOLZ

Serial No.: 09/990,763

Filing Date: 09 November 2001

Title: METHOD AND DEVICE FOR REFINING  
A GLASS MELTING

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
AND SUBMISSION OF CERTIFIED COPY  
OF GERMAN PRIORITY DOCUMENT**

**Box MISSING PARTS**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed  
06 December 2001, we have enclosed the items listed below.

- Executed German Language Declaration (4 pages), attached to  
a copy of the application as originally filed in order to obtain a  
filing date for the referenced application

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

31 JAN 02

31 JAN 02  
Date

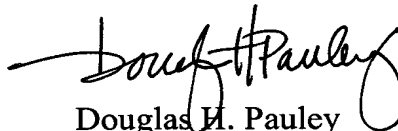
  
Signature

Serial No.: 09/990,763

- First Information Disclosure Statement (2 pages), together with Form PTO-1449, one (1) page, and with copies of each and every cited reference
- Certified copy of German Priority Document No. 100 55 969.7
- A check in the amount of \$870.00 for the filing fee and surcharge for late filing of the executed oath or declaration
- A copy of the Notice to File Missing Parts of Application
- Return Receipt Postcard

The Commissioner is hereby authorized to charge any deficiency or to credit any overpayment to Deposit Account No. 19-3550. A duplicate of this sheet is enclosed.

Respectfully submitted,



Douglas H. Pauley  
Regis. No. 33,295

Pauley Petersen Kinne & Erickson  
2800 West Higgins Road; Suite 365  
Hoffman Estates, Illinois 60195  
TEL (847) 490-1400  
FAX (847) 490-1403